

Investor Identification Questionnaire For Full-Service Brokerage Account

Information requested for filling out this form is required for providing tailored and suitable advice to clients. In order for us to give relevant investment advice along with opening full-service brokerage account and obtaining right to consult you about investment decisions, it is also necessary to have the following information according to the Georgian Stock Exchange requirements as well as standards of professional brokerage services ethics. In case of omitting any information requested in the questionnaire, CFS reserves the right to refuse clients' request to open full-service brokerage account. Information in this questionnaire is requested solely in the interests of the client and will only be used for such purposes.

Information about Risk Tolerance

Name (<i>Last, First</i>)	Personal ID Number	Private Number
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Average monthly income (in GEL):

- <500
 500 - 1000
 1000 - 2000
 2000 - 5000
 >5000

Source of income (*it is possible to mark several sources*):

- Salary
- Own business
- Spouse's income
- Parent's, relative's or other person's income
- Other source

In case of own business clarify the nature of business _____

In case you receive salary, provide following information:

Employer's name	Phone	e-mail
Address	Position	Tenure

Number of dependants:	Average monthly free cash (in GEL):	
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Average Monthly necessary cash for you and your dependents:	
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Aggregate net wealth (in GEL)	Aggregate net liquid wealth (savings, securities)
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Write the amount (in GEL) which you would risk for receiving appropriate return

10%	15%	25%	35%	50%	70%	100%	150%

In general, describe yourself from the point of investment risk tolerance:

- Very risk averse
 Risk averse
 Moderately risk tolerant
 Risk tolerant
 Very risk tolerant

Investment Objectives

Purpose of opening brokerage account (*it is possible to mark several objectives*)

- Making long-term investment (1 year and more)
- Making short-term investment (less than 1 year)
- Short-term speculative trading
- Temporary Investment of free cash in low risk securities

Other: _____

Name (Last, First)	Personal ID Number	Private Number
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Purpose of investment in securities:

- Moderate growth of investment
- High growth of investment
- Safety of capital and low current income
- High current income

Other: _____

Investment Expertise and Experience

Mark your knowledge level in risk, return and other characteristics of the following types of securities:

Stocks

- none little average good extensive.

Fixed income securities (*bonds, treasury bills etc.*)

- none little average good extensive.

Derivatives (*options, futures, swaps*)

- none little average good extensive.

Have you ever invested in securities?

- yes no

If yes, mark in which one:

- Stocks traded on the Georgian Stock Exchange
- Stocks traded on the foreign stock exchanges
- Georgian Treasury Bills
- Fixed income securities issued by the Georgian companies
- Fixed income securities issued by the foreign companies
- Foreign mutual / investment funds or Exchange Traded Funds (ETFs)

Other: _____

Investment experience period _____

Numebr of trades executed (approximately) _____

CFS is not liable for the quality of the broker's advice. Acting according to broker's recommendations is a right and responsibility of the client

Date _____

Client signature _____

CFS representative signature _____